| Name | Description | Category | Current Impact | Current Likelihood | Current Risk Score | Target Impact | Target Likelihood | Target Risk Score | Assurance Status | Direction of Travel | Control Type | Existing / New and Developing | Related Risk Copy | Risk Owner |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Safeguarding Children | Failure to safeguard children could impact childrens wellbeing and cause reputational damage & legal repercussions. | Risk | 4 - Critical | 2 - Possible | 8 - Medium | 4 - Critical | 2 - Possible | 8 - Medium | Substantial | Improving | | | Safeguarding Children | Heather Sandy |
| Annual review of health assessments | Annual review of health assessments reach over 90% | Control | | | | | | | | | Measured | Existing | Safeguarding Children | Heather Sandy |
| Immunisations up to date | Immunisations up to date reaching 95% | Control | | | | | | | | | Measured | Existing | Safeguarding Children | Heather Sandy |
| No of children on child protection register | No of children on child protection register is below all England and stat neighbours | Control | | | | | | | | | Measured | Existing | Safeguarding Children | Heather Sandy |
| Ofsted Report (Children in need of help and protection) | Ofsted Report (Children in need of help and protection) | Control | | | | | | | | | Measured | Existing | Safeguarding Children | Heather Sandy |
| Registration with dentists | Registration with dentists reaching 95% | Control | | | | | | | | | Measured | Existing | Safeguarding Children | Heather Sandy |
| Registration with GPs | Registration with GPs reaching 95% | Control | | | | | | | | | Measured | Existing | Safeguarding Children | Heather Sandy |
| Live & ongoing assurance provided to OFSTED | Live & ongoing assurance provided to OFSTED | Control | | | | | | | | | Measured | Existing | Safeguarding Children | Heather Sandy |
| Pathfinder | Chosen for national pilot providing improved support for families | Control | | | | | | | | | Unmeasured | New & Developing | Safeguarding Children | Heather Sandy |
| The CHaT Dataset | The CHat data set, reviewed by senior managers to ensure performance is in order. | Control | | | | | | | | | Measured | Existing | Safeguarding Children | Heather Sandy |
| Quality Assurance and Audit Process | Robust Quality Assurance and Audit process – deep diving into quality of practice. | Control | | | | | | | | | Measured | Existing | Safeguarding Children | Heather Sandy |
| LSCP | Lincolnshire Safeguarding Childrens Partnership - collaborative working to monitor and review safeguarding system across Lincolnshire. | Control | | | | | | | | | Unmeasured | Existing | Safeguarding Children | Heather Sandy |

| Name | Description | Category | Current Impact | Current Likelihood | Current Risk Score | Target Impact | Target Likelihood | Target Risk Score | Assurance Status | Direction of Travel | Control Type | Existing / New and Developing | Related Risk Copy | Risk Owner |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Safeguarding Adults | Failure to meet our statutory responsibilities in order to safeguard adults, impacts service user wellbeing and our reputation. | Risk | 3 - Major | 2 - Possible | 6 - Medium | 3 - Major | 2 - Possible | 6 - Medium | Substantial | Improving | | | Safeguarding Adults | Glen Garrod |
| Monitoring of performance against council business plan measures and any actions arising | | Control | | | | | | | | | Measured | New and Developing | Safeguarding Adults | |
| Corporate audits, QA audits & board audit plans in place | | Control | | | | | | | | | Measured | New and Developing | Safeguarding Adults | |
| LSAB action plan | LSAB action plan in place and on track | Control | | | | | | | | | Measured | New and Developing | Safeguarding Adults | |
| Keeping People Safe Strategy | LSAB Prevention Strategy and early intervention in place and in progress | Control | | | | | | | | | Unmeasured | Existing | Safeguarding Adults | |
| LSAB, SASS Risk Registers | LSAB, SASS Risk Registers in place & reviewed. Work ongoing with AFLTC. | Control | | | | | | | | | Measured | Existing | Safeguarding Adults | |
| Multiagency Safeguarding Policy | Multiagency Safeguarding Policy & Local procedures in place | Control | | | | | | | | | Unmeasured | Existing | Safeguarding Adults | |
| Number of safeguarding cases supported by an advocate KPI's in place | Target - 100% - Met | Control | | | | | | | | | Measured | New and Developing | Safeguarding Adults | |
| Rolling program of training for staff development in place | Mandatory framework, monitored | Control | | | | | | | | | Measured | Existing | Safeguarding Adults | |
| LSAB making safeguarding personal - action plan | Monitored | Control | | | | | | | | | Measured | New and Developing | Safeguarding Adults | |
| CQC Inspection regime pilot, providing assurance on processes and areas for growth | | Control | | | | | | | | | Measured | New and Developing | Safeguarding Adults | |

| Name | Description | Category | Current Impact | Current Likelihood | Current Risk Score | Target Impact | Target Likelihood | Target Risk Score | Assurance Status | Direction of Travel | Control Type | Existing / New and Developing | Related Risk Copy | Risk Owner |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Resilience (Business Continuity) | Capacity and resilience to respond to, and recover from, wider area and prolonged emergencies and business disruption (e.g. coastal flooding / pandemic flu) impacting on public safety, continuity of critical functions and normal service delivery | Risk | 3 - Major | 3 - Probable | 9 - Medium | 3 - Major | 2 - Possible | 6 - Medium | Substantial | Static | | | Resilience (Business Continuity) | Glen Garrod |
| Training courses for commanders and LRFs | Ongoing development of training courses for commanders and LRFs | Control | | | | | | | | | Measured | New and Developing | Resilience (Business Continuity) | |
| Cabinet Office funded projects | 4 Projects Managed through existing LRF protocols and funded by Cabinet Office Drone Project, Mapping Project, Rest Centres, Engagement Project | Control | | | | | | | | | Measured | Existing | Resilience (Business Continuity) | |
| Command and Control training | Command and Control training delivered to LCC strategic, tactical and operational managers, monitored through database with automated notification reminders. | Control | | | | | | | | | Measured | Existing | Resilience (Business Continuity) | |
| Stronger LRF programme and UK resilience review | UK resilience review and Stronger LRF programme under review and complied with | Control | | | | | | | | | Measured | New and Developing | Resilience (Business Continuity) | |
| Facilities reviews | County Emergency Centre facilities continually under review | Control | | | | | | | | | Measured | New and Developing | Resilience (Business Continuity) | |
| Elected Member training & experience | Deliver Emergency Planning and Business Continuity training to Elected Members and inclusion in exercises | Control | | | | | | | | | Measured | Existing | Resilience (Business Continuity) | |
| Tactical & Strategic officer comms | Email comms sent to tactical and strategic duty officers every week as a reminder of cover on the rotas. | Control | | | | | | | | | Measured | Existing | Resilience (Business Continuity) | |
| Mighty Oak report | Exercise Mighty Oak report prepared and presented to LRF | Control | | | | | | | | | Measured | Existing | Resilience (Business Continuity) | |
| Internal resilience | Looking internally at more resilience during national prolonged emergencies | Control | | | | | | | | | Unmeasured | New and Developing | Resilience (Business Continuity) | |
| LRF tracker monitoring | Monitoring of LRF tracker document by programme management board | Control | | | | | | | | | Measured | Existing | Resilience (Business Continuity) | |
| LRF CCA pilot | Pilot around LRFs which are looking at the Civil Contingencies Act with a view to this being updated completed. | | | | | | | | | | Unmeasured | Existing | Resilience (Business Continuity) | |
| Corporate Business Continuity Plan | Version 3 of the Corporate Business Continuity plan Issued | Control | | | | | | | | | Measured | Existing | Resilience (Business Continuity) | |
| Flood ex 2022 debrief | Flood ex 2022 debrief report completed and recommendations accepted. | Control | | | | | | | | | Measured | New and Developing | Resilience (Business Continuity) | |
| LRF rebranding and engagement project | LRF rebranding and engagement project on going, including a new website and social media. | Control | | | | | | | | | Unmeasured | New and Developing | Resilience (Business Continuity) | |
| Benchmarking exercise | Business Continuity benchmarking exercise with 18 national resilience standards completed with recommendations and action plan in place. | Control | | | | | | | | | Measured | New and Developing | Resilience (Business Continuity) | |
| Internal Audit | Internal audit of Business Continuity due October 2023. | Control | | | | | | | | | Unmeasured | New and Developing | Resilience (Business Continuity) | |

| Name | Description | Category | Current Impact | Current Likelihood | Current Risk Score | Target Impact | Target Likelihood | Target Risk Score | Assurance Status | Direction of Travel | Control Type | Existing / New and Developing | Related Risk Copy | Risk Owner |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Transformation Programme Projects | Ability to deliver our Transformation programme | Risk | 3 - Major | 2 - Possible | 6 - Medium | 3 - Major | 2 - Possible | 6 - Medium | Substantial | Improving | | | Transformation Programme Projects | Andrew Crookham |
| The scope and focus of the transformation programme scope is regularly reviewed to ensure wider contextual and environmental factors are considered. | | Control | | | | | | | | | Unmeasured | Existing | Transformation Programme Projects | |
| Aligning transformation programme to Corporate Plan and Political Manifesto | | Control | | | | | | | | | Measured | Existing | Transformation Programme Projects | |
| Dedicated SharePoint site with updated standardised Project Managment Toolkit & Business Analysis Toolkit | | Control | | | | | | | | | Measured | Existing | Transformation Programme Projects | |
| Further developing the Transformation Team to continue to lead key areas of activity, including learning and development. | | Control | | | | | | | | | Measured | Existing | Transformation Programme Projects | |
| Transformation steering group monitors & measures impact on enabling services, prior to recommendation to CLT. | | Control | | | | | | | | | Measured | Existing | Transformation Programme Projects | |
| Gateway review process being tested. | | Control | | | | | | | | | Measured | New and Developing | Transformation Programme Projects | |
| Governance in place for strategic, tactical and operational project levels. | | Control | | | | | | | | | Measured | Existing | Transformation Programme Projects | |
| Implemented management structure to oversee the programme. | | Control | | | | | | | | | Unmeasured | Existing | Transformation Programme Projects | |
| Routine political reporting. | | Control | | | | | | | | | Measured | Existing | Transformation Programme Projects | |
| Our vision, mission, objectives and outcomes are clear and all projects within the programme are working towards these. | | Control | | | | | | | | | Measured | Existing | Transformation Programme Projects | |

| Name | Description | Category | Current Impact | Current Likelihood | Current Risk Score - Calculated | Target Impact | Target Likelihood | Target Risk Score - Calculated | Assurance Status | Direction of Travel | Control Type | Existing / New and Developing | Related Risk Copy | Risk Owner |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Budget - LCC | Funding and maintaining financial resilience | Risk | 3 - Major | 2 - Possible | 6 - Medium | 3 - Major | 1 - Hardly Ever | 3 - Medium | Substantial | Static | | | Budget - LCC | Andrew Crookham |
| External assessment of our Financial resilience. | Returned with good assurance regarding ressilience | Control | | | | | | | | | Unmeasured | Existing | Budget - LCC | |
| Underspend position for 22/23, forecasted to balance for 23/24 | | Control | | | | | | | | | Unmeasured | Existing | Budget - LCC | |
| Established Medium Term Financial Strategy (MTFP), setting out the council's financial context and steps to longer term financial sustainability. | | Control | | | | | | | | | Unmeasured | Existing | Budget - LCC | |
| MTFP incorporates the Transformation Programme | | Control | | | | | | | | | Measured | Existing | Budget - LCC | |
| Established consistent revenue budget monitoring process including monthly exception reporting to CLT | Process improved and streamlined | Control | | | | | | | | | Measured | Existing | Budget - LCC | |
| Financial planning process incoprorates potential risk of external environment, such as macro changes and funding review | | Control | | | | | | | | | Unmeasured | New and Developing | Budget - LCC | |
| Areas of financial risk being analysed | | Control | | | | | | | | | Unmeasured | New and Developing | Budget - LCC | |
| Introduction of an evidence based multi-year service development program at directorate level, seeking to maximum the Lincolnshire pound whilst maintaining quality of service. | | Control | | | | | | | | | Unmeasured | New and Developing | Budget - LCC | |
| Improvements to the management of the capital programme including a 10 year affordable programme, introduction of monthly capital review meetings | | Control | | | | | | | | | Unmeasured | New and Developing | Budget - LCC | |
| Review of CIPFA financial management code and our compliance. | Completed, judged to be compliant, program | Control | | | | | | | | | Unmeasured | New and Developing | Budget - LCC | |
| Developing the offer of financial services, to ensure advice and guidance given is as detailed and consistent. | | Control | | | | | | | | | Measured | New and Developing | Budget - LCC | |

| Name | Description | Category | Current Impact | Current Likelihood | Current Risk Score | Target Impact | Target Likelihood | Target Risk Score | Assurance Status | Direction of Travel | Control Type | Existing / New and Developing | Related Risk Copy | Risk Owner |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Serco Contract | Exit of Serco contract ending and transition into the new arrangements | Risk | 3 - Major | 2 - Possible | 6 - Medium | 3 - Major | 1 - Hardly Ever | 3 - Medium | Substantial | Improving | | | Serco Contract | Andrew Crookham |
| Implementation of the programme / project plan with key milestone dates. | | Control | | | | | | | | | Measured | Existing | Serco Contract | |
| Governance arrangements and reporting lines in place. | | Control | | | | | | | | | Measured | Existing | Serco Contract | |
| Exit Manager appointed by Serco. | | Control | | | | | | | | | Unmeasured | Existing | Serco Contract | |
| Hoople build of BW system implemented, ahead of service transition. | | Control | | | | | | | | | Measured | New and Developing | Serco Contract | |
| Programme Director in place to lead of Implementation. | | Control | | | | | | | | | Unmeasured | Existing | Serco Contract | |
| Hoople identified Fire Payroll expert as a resource. | | Control | | | | | | | | | Unmeasured | New and Developing | Serco Contract | |
| Continuation of IMT services until March 2026. | | Control | | | | | | | | | Unmeasured | New and Developing | Serco Contract | |
| CSC tender won by serco, CSC services continue to be delivered by them. | | Control | | | | | | | | | Unmeasured | New and Developing | Serco Contract | |

| Name | Description | Category | Current Impact | Current Likelihood | Current Risk Score | Target Impact | Target Likelihood | Target Risk Score | Assurance Status | Direction of Travel | Control Type | Existing / New and Developing | Related Risk Copy | Risk Owner |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Outstanding Debt | Securing efficient and effective end-to-end processes for the recovery of income due to the Council | Risk | 3 - Major | 2 - Possible | 6 - Medium | 3 - Major | 2 - Possible | 6 - Medium | Substantial | Improving | | | Outstanding Debt | Glen Garrod |
| Regular oversight and management of necessary improvements by ACCW Directorate Leadership Team | | Control | | | | | | | | | Measured | Existing | Outstanding Debt | |
| A detailed full audit is planned for 2023 to assess progress against the action plan | Came back with substantial assurance | Control | | | | | | | | | Measured | New and Developing | Outstanding Debt | |
| Credit control procedures are in place, e.g. for issuing reminders. | | Control | | | | | | | | | Measured | Existing | Outstanding Debt | |
| Detailed monthly reports on debtors are ran and actioned by the Finance team. | | Control | | | | | | | | | Measured | Existing | Outstanding Debt | |
| Embedded Debt review groups in each team - chaired by area managers, with agreed ToR | | Control | | | | | | | | | Measured | New and Developing | Outstanding Debt | |
| Escalation procedures are in place for pursuing overdue debt | | Control | | | | | | | | | Measured | Existing | Outstanding Debt | |
| Established systems are in place for raising invoices | Few hoople related teething issues, but systems still there, insignificant change. | Control | | | | | | | | | Measured | Existing | Outstanding Debt | |
| Action plan implemented, some actions remaining from audit. Continuous improvement journey underway as credit controls are TUPE in. In place for the new financial year. | | Control | | | | | | | | | Measured | New and Developing | Outstanding Debt | |
| The annual audit of key controls embedded and ongoing | Good assurance received | Control | | | | | | | | | Measured | Existing | Outstanding Debt | |
| Debt Dashboard with performance measures, reported into financial assessment improvement programme. | | Control | | | | | | | | | Measured | New and Developing | Outstanding Debt | |
| End to end process mapping by business analyst | Will be refreshed in due course. Invoice v | Control | | | | | | | | | Measured | New and Developing | Outstanding Debt | |
| Delivering service development (Move to gross), setting up direct debits to assist in reducing debt levels. | | Control | | | | | | | | | Measured | New and Developing | Outstanding Debt | |

| Name | Description | Category | Current Impact | Current Likelihood | Current Risk Score | Target Impact | Target Likelihood | Target Risk Score | Assurance Status | Direction of Travel | Control Type | Existing / New and Developing | Related Risk Copy | Risk Owner |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Inflation | Continued high inflation undermines capital programme impacting aspirations and threatens the sustainability of revenue budgets. | Risk | 3 - Major | 4 - Almost Certain | 12 - High | 3 - Major | 3 - Probable | 9 - Medium | Substantial | Improving | | | Inflation | Andrew Crookham |
| Lobbying for Government Support through CCN, LGA & SCT | Lobbying for Government Support through County Council Network, Local Government Association, Society of County Treasurers | Control | | | | | | | | | Measured | Existing | Inflation | |
| Regular financial monitoring/impact assessment on key projects/contracts | | Control | | | | | | | | | Measured | Existing | Inflation | |
| Reshape Spending options | | Control | | | | | | | | | Measured | Existing | Inflation | |
| Increased contingency amount for budget pressures such as pay award. | | Control | | | | | | | | | Measured | New and Developing | Inflation | |
| Capital programme reprioritised with Executive, to keep within funding envelope | | Control | | | | | | | | | Measured | New and Developing | Inflation | |
| Costs pressures included in next years budget. | | Control | | | | | | | | | Measured | New and Developing | Inflation | |

| Name | Description | Category | Current Impact | Current Likelihood | Current Risk Score | Target Impact | Target Likelihood | Target Risk Score | Assurance Status | Direction of Travel | Control Type | Existing / New and Developing | Related Risk Copy | Risk Owner |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Recruitment & Staffing | Ability to recruit & retain employees across the organisation | Risk | 3 - Major | 3 - Probable | 9 - Medium | 2 - Minor | 2 - Possible | 4 - Medium | Substantial | Improving | | | Recruitment & Staffing | Andrew Crookham |
| Developing an understanding of short / medium / long term workforce planning requirements. | | Control | | | | | | | | | Measured | New and Developing | Recruitment & Staffing | |
| Development of the wider talent management offer. including , Attraction and Retention (A&R) offer, apprenticeship program and wider talent management offer. Measured by people strategy benefit realisation. | | Control | | | | | | | | | Measured | New and Developing | Recruitment & Staffing | |
| Listening and responding to employee feedback through employee surveys and Employee Briefing events informing corporate action, including annual employee survey. | Measured by action plans following feedback. | Control | | | | | | | | | Measured | Existing | Recruitment & Staffing | |
| Ongoing resourcing analytics monthly and year to date, and further development of Resourcing Management Information in addition to Human Resources Management Information (HRMI) | | Control | | | | | | | | | Measured | New and Developing | Recruitment & Staffing | |
| Expansion and promotion of current enhanced health and wellbeing offer and internal mental health services, as part of the health and wellbeing strategy. And promotion of financial wellbeing support. | | Control | | | | | | | | | Measured | Existing | Recruitment & Staffing | |
| Expansion and promotion to employee benefits e.g. salary sacrifice schemes and benefits portal | | Control | | | | | | | | | Measured | Existing | Recruitment & Staffing | |
| Corporate recruitment and retention measures established including new employer branding/social media campaigns, phase 1 complete, phase 2 underway. | | Control | | | | | | | | | Measured | Existing | Recruitment & Staffing | |
| Embedding all employment policies to support cultural shift to more collaborative ways of working and early resolution of issues | | Control | | | | | | | | | Unmeasured | Existing | Recruitment & Staffing | |
| Implementation of hybrid working and associated changes to performance management and working flexibly practices | | Control | | | | | | | | | Unmeasured | Existing | Recruitment & Staffing | |
| Monitor and review Our People Strategy 2023-25 to support the delivery of our Corporate Plan, implemented through the people strategy delivery plan. | Reported through Senior / Directorate Leadership Teams. | Control | | | | | | | | | Measured | Existing | Recruitment & Staffing | |
| Development of comprehensive digital information, detailing employement policies, benefits & wellbeing support via internal and external mechanisms. | | Control | | | | | | | | | Unmeasured | Existing | Recruitment & Staffing | |
| Monthly project board, with people focus element, including recruitment and retention | | Control | | | | | | | | | Unmeasured | Existing | Recruitment & Staffing | |

| Name | Description | Category | Current Impact | Current Likelihood | Current Risk Score | Target Impact | Target Likelihood | Target Risk Score | Assurance Status | Direction of Travel | Control Type | Existing / New and Developing | Related Risk Copy | Risk Owner |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Market Supply | Adequacy of Social Care market supply to meet eligible needs as defined in the Care Act | Risk | 3 - Major | 2 - Possible | 6 - Medium | 3 - Major | 1 - Hardly Ever | 3 - Medium | Limited | Static | | | Market Supply | Glen Garrod |
| Loss of Provider Process | Loss of Provider Process (enacted where required) | Control | | | | | | | | | Measured | Existing | Market Supply | |
| Market Sustainability and Improvement Fund | Led by Finance leads submission. National government pay different rates for different areas. Fair cost of care for locality. This is done across all the market. New rates in place for 23/24. | Control | | | | | | | | | Measured | Existing | Market Supply | |
| Home Based Reablement Service pilot | Home Based Reablement Service pilot to broaden eligibility - in final evaluation | Control | | | | | | | | | Measured | Existing | Market Supply | |
| Move to gross (residential specific) | Move to gross now rolling out, home by home. | Control | | | | | | | | | Measured | Existing | Market Supply | |
| Contracts in Place | Commercial contracts in place across the sector. | Control | | | | | | | | | Measured | Existing | Market Supply | |
| Robust Contract Management | Robust Contract Management of all Commissioned Services | Control | | | | | | | | | Measured | Existing | Market Supply | |
| Service Quality Review | Service Quality Review – multi agency response to high-risk provision | Control | | | | | | | | | Measured | Existing | Market Supply | |
| Workforce Development Strategy Group | Workforce Development Strategy Group (led by Justin Hackney) | Control | | | | | | | | | Measured | Existing | Market Supply | |
| Discharge funding | Distribution of the discharge funding, two year investment in place to 23-25 | Control | | | | | | | | | Measured | New and Developing | Market Supply | |
| Sustainability action plan | Sustainability action plan for whole market | Control | | | | | | | | | Measured | New and Developing | Market Supply | |
| Targetted Support | Hardship fund for residential | Control | | | | | | | | | Measured | New and Developing | Market Supply | |
| Intervention Programme | Intervention programme for targetted support of providers (as part of contract management). | Control | | | | | | | | | Measured | New and Developing | Market Supply | |
| Work Force Support | Targetted work force support - with new grant funding. | Control | | | | | | | | | Measured | New and Developing | Market Supply | |
| International Recruitment Programme | Leading on East Midlands international recruitment programme. | Control | | | | | | | | | Measured | New and Developing | Market Supply | |
| East Midlands Market Assessment | Part of East Midlands Market Assessment – comparing rates / supply across east of England. | Control | | | | | | | | | Measured | New and Developing | Market Supply | |

| Name | Description | Category | Current Impact | Current Likelihood | Current Risk Score | Target Impact | Target Likelihood | Target Risk Score | Assurance Status | Direction of Travel | Control Type | Existing / New and Developing | Related Risk Copy | Risk Owner |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Strategic Contracts | Ensuring contracts and markets (other than adult care) are fit for purpose & are managed effectively | Risk | 3 - Major | 3 - Probable | 9 - Medium | 2 - Minor | 2 - Possible | 4 - Medium | Limited | Static | | | Strategic Contracts | Andrew Crookham |
| Access to legal advice and support | | Control | | | | | | | | | Measured | Existing | Strategic Contracts | |
| Business cases | | Control | | | | | | | | | Unmeasured | Existing | Strategic Contracts | |
| Recruitement Category Management Lead for IT | | Control | | | | | | | | | Measured | New and Developing | Strategic Contracts | |
| Commercial Board | | Control | | | | | | | | | Unmeasured | Existing | Strategic Contracts | |
| Commissioning advisory group and Commissioning Hub | | Control | | | | | | | | | Unmeasured | Existing | Strategic Contracts | |
| Contract and procurement procedure rules (CPPR) | | Control | | | | | | | | | Unmeasured | Existing | Strategic Contracts | |
| Options Appraisals | | Control | | | | | | | | | Unmeasured | Existing | Strategic Contracts | |
| Project decision making and governance including accountable decision maker | | Control | | | | | | | | | Unmeasured | Existing | Strategic Contracts | |
| Development of a Contract Management Toolkit which promotes good practice | | Control | | | | | | | | | Unmeasured | New and Developing | Strategic Contracts | |
| Regular quarterly reporting to all DLTs with escalation reporting to CLT | | Control | | | | | | | | | Measured | New and Developing | Strategic Contracts | |
| Use of industry standard contracts e.g. NEC | | Control | | | | | | | | | Unmeasured | Existing | Strategic Contracts | |

| Name | Description | Category | Current Impact | Current Likelihood | Current Risk Score | Target Impact | Target Likelihood | Target Risk Score | Assurance Status | Direction of Travel | Control Type | Existing / New and Developing | Related Risk Copy | Risk Owner |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| IT Infrastructure | Failure to provide an upto date and stable IT infrastructure, relative to the Authorities needs, may fundamentally impede the Councils ability to achieve its objectives | Risk | 4 - Critical | 2 - Possible | 8 - Medium | 3 - Major | 1 - Hardly Ever | Medium | Limited | Improving | | | IT Infrastructure | Andrew Crookham |
| Portfolio of remedial projects | Portfolio of projects progressing well to mitigate. | Control | | | | | | | | | Measured | Existing | IT Infrastructure | |
| Life Cycle Management | Quarterly life cycle reviews held with Serco, tracking and informing works | Control | | | | | | | | | Measured | Existing | IT Infrastructure | |
| Device Management Strategy | EUC device moves to modern management is nearing completion reducing reliance on LCC infrastructure | Control | | | | | | | | | Measured | Existing | IT Infrastructure | |
| Ongoing removal of legacy hardware/software | Projects to remove legacy hardware and software are progressing well. | Control | | | | | | | | | Measured | New and Developing | IT Infrastructure | |
| System Heat Map - Review of key systems | The Systems Heatmap is being completed to aid tracking of the environment. | Control | | | | | | | | | Measured | New and Developing | IT Infrastructure | |